

## Supplementary Material

**Table S1: Trial information: the SUSTAIN 1-6 and Japanese trials**

| Trial           | N    | Randomisation | Duration<br>Weeks | Background  | Comparator  |
|-----------------|------|---------------|-------------------|---|---|
| SUSTAIN 1       | 388  | 2:2:1:1       | 30                | Drug-naïve  | Placebo   |
| SUSTAIN 2       | 1231 | 2:2:1:1       | 56                | MET ± TZD   | Sitagliptin 100 mg                                  |
| SUSTAIN 3       | 813  | 1:1           | 56                | 1-2 OADs  | Exenatide ER 2.0 mg                                 |
| SUSTAIN 4       | 1089 | 1:1:1         | 30                | MET ± SU  | IGlar (titrated to target)                          |
| SUSTAIN 5       | 397  | 2:2:1:1       | 30                | Basal insulin ± MET                                   | Placebo   |
| SUSTAIN 6       | 3297 | 1:1:1:1       | 104               | Drug-naïve/1-2 OADs/Basal or premix insulin ±1-2 OADs | Placebo + SOC                                       |
| SUSTAIN JP Mono | 308  | 1:1:1         | 30                | Drug-naïve  | Sitagliptin 100 mg                                  |
| SUSTAIN JP OAD  | 601  | 2:2:1         | 56                | Treatment naïve/<br>1 OAD                             | 1 OAD (DPP-4i; biguanide; SU; glinide, α-GI or TZD) |

α-glucosidase inhibitor; DPP-4i, dipeptidyl peptidase-4 inhibitor; ER, extended release; IGLar, insulin glargine; JP, Japanese; MET, metformin; Mono, monotherapy; OAD, oral antidiabetic drug; SOC, standard of care; SU, sulphonylurea; TZD, thiazolidinedione.

**Table S2: Assessment of diabetic retinopathy across the phase 3a SUSTAIN clinical trial programme**

|  | Phase 3a pool          | SUSTAIN 6 (CVOT) |
|--|------------------------|------------------|
| <b>At baseline</b>                             |                        |                  |
| Medical history                                | X                      | X                |
| Dedicated DR history form                      |                        | X                |
| <b>During the trials</b>                       |                        |                  |
| AE reporting                                   | X                      | X                |
| Adjudication of DR complications               |                        | X                |
| Fundoscopy/Fundus photography                  |                        |                  |
| Baseline                                       | X                      | X                |
| EOT and at premature treatment discontinuation | JP SUSTAIN trials only | X                |
| At year 1 and year 2                           |                        | X                |

The phase 3a pool includes SUSTAIN 1–5 and the Japanese trials, but not SUSTAIN 6. AE, adverse event; CVOT, cardiovascular outcomes trial; DR, diabetic retinopathy; EOT, end of treatment; JP, Japanese.

**Table S3: Baseline characteristics of all patients and patients with EAC-confirmed events of diabetic retinopathy complications in SUSTAIN 6**

|  | Patients with EAC-confirmed events of DR complications in-trial |                   | Overall trial population |                     |
|--|---|-------------------|--------------------------|---------------------|
|  | Semaglutide<br>(N=50)   | Placebo<br>(N=29) | Semaglutide<br>(N=1648)  | Placebo<br>(N=1649) |
| <b>Age (years)</b> , Mean (SD)               | 63.0 (5.6)  | 61.8 (7.0)        | 64.7 (7.2)               | 64.6 (7.5)          |
| <b>Sex</b>                                   |   |                   |                          |                     |
| Male, N (%)                                  | 34 (68.0)   | 17 (58.6)         | 1013 (61.5)              | 989 (60.0)          |
| Female, N (%)                                | 16 (32.0)   | 12 (41.4)         | 635 (38.5)               | 660 (40.0)          |
| <b>Diabetes duration (years)</b> , Mean (SD) | 17.08 (9.15)  | 18.29 (6.89)      | 14.17 (8.20)             | 13.60 (8.02)        |
| <b>HbA<sub>1c</sub> (%)</b> , Mean (SD)      | 9.18 (1.95)   | 9.71 (1.83)       | 8.70 (1.45)              | 8.70 (1.47)         |
| <b>Insulin treatment, N (%)</b>              | 38 (76.0)   | 22 (75.9)         | 956 (58.0)               | 957 (58.0)          |
| Basal insulins, N (%)                        | 14 (28.0)   | 12 (41.4)         | 515 (31.3)               | 426 (25.8)          |
| Premix insulins, N (%)                       | 24 (48.0)   | 10 (34.5)         | 441 (26.8)               | 692 (42.0)          |
| <b>History of DR, N (%)</b>                  |   |                   |                          |                     |
| <b>Yes</b>                                   | 42 (84.0)   | 24 (82.8)         | 510 (30.9)               | 459 (27.8)          |
| <b>Proliferative</b>                         | 14 (28.0)   | 9 (31.0)          | 103 (6.3)                | 99 (6.0)            |
| <i>Macular oedema</i>                        | 3 (6.0)   | 1 (3.4)           | 16 (1.0)                 | 15 (0.9)            |
| <i>Laser therapy/ intravitreal agents</i>    | 10 (20.0)   | 4 (13.8)          | 59 (3.6)                 | 53 (3.2)            |
| <i>Surgery</i>                               | 2 (4.0)   | 2 (6.9)           | 14 (0.8)                 | 10 (0.6)            |
| <b>Non-proliferative</b>                     | 26 (52.0)   | 13 (44.8)         | 402 (24.4)               | 348 (21.1)          |
| <i>Macular oedema</i>                        | 7 (14.0)  | 4 (13.8)          | 31 (1.9)                 | 33 (2.0)            |
| <i>Laser therapy/ intravitreal agents</i>    | 10 (20.0)   | 5 (17.2)          | 57 (3.5)                 | 43 (2.6)            |
| <i>Surgery</i>                               | 0 (0.0)   | 0 (0.0)           | 5 (0.3)                  | 5 (0.3)             |
| <b>Unknown type</b>                          | 2 (4.0)   | 2 (6.9)           | 5 (0.3)                  | 12 (0.7)            |
| <i>Macular oedema</i>                        | 0 (0.0)   | 0 (0.0)           | 0                        | 1 (0.1)             |
| <i>Laser therapy/intravitreal agents</i>     | 1 (2.0)   | 0 (0.0)           | 2 (0.1)                  | 2 (0.1)             |
| <b>No</b>                                    | 5 (10.0)  | 4 (13.8)          | 1023 (62.1)              | 1089 (66.0)         |
| <b>Unknown</b>                               | 3 (6.0)   | 1 (3.4)           | 115 (7.0)                | 101 (6.1)           |
| <b>SBP (mmHg)</b> , Mean (SD)                | 144.5 (25.02)   | 130.8 (17.15)     | 136.0 (17.47)            | 135.3 (16.82)       |

EAC, (external) event adjudication committee; DR, diabetic retinopathy; SBP, systolic blood pressure; SD, standard deviation.

**Table S4: Patients with diabetic retinopathy complications in SUSTAIN 6**

|   | Semaglutide |    | Placebo  |    | Total    |    |
|---|-------------|----|----------|----|----------|----|
|   | N (%)       | E  | N (%)    | E  | N (%)    | E  |
| <b>Diabetic retinopathy complications</b>   | 50 (3.0)    | 62 | 29 (1.8) | 36 | 79 (2.4) | 98 |
| Need for retinal photocoagulation           | 38 (2.3)    | 43 | 20 (1.2) | 24 | 58 (1.8) | 67 |
| Need for treatment with intravitreal agents | 16 (1.0)    | 18 | 13 (0.8) | 14 | 29 (0.9) | 32 |
| Vitreous haemorrhage                        | 16 (1.0)    | 19 | 7 (0.4)  | 8  | 23 (0.7) | 27 |
| Onset of diabetes-related blindness*        | 5 (0.3)     | 5  | 1 (0.1)  | 1  | 6 (0.2)  | 6  |

\*Defined as Snellen visual acuity of 20/200 (6/60) or less, or visual field of less than 20 degrees, in the better eye with best correction possible.

CI, confidence interval; E, events.

**Table S5: Clinical details of the EAC-confirmed diabetes-related blindness cases in SUSTAIN 6**

|  | Semaglutide   |  |   |  |  | Placebo   |
|--|---|--|---|--|--|---|
|  | 1   | 2  | 3   | 4  | 5  | 6   |
| Age (years)                                | 57  | 70   | 62  | 67   | 71   | 52  |
| Country                                    | Australia   | UK   | US  | US   | US   | US  |
| Eye-related medical history prior to event | <ul style="list-style-type: none"> <li>• PDR</li> <li>• Macular oedema</li> <li>• Macular ischaemia</li> <li>• Laser tx or IV agents</li> <li>• Cataract</li> </ul> | <ul style="list-style-type: none"> <li>• PDR</li> <li>• Recurrent VH</li> <li>• Laser tx or IV agents</li> <li>• Cataract</li> </ul> | <ul style="list-style-type: none"> <li>• PDR</li> <li>• Retinal haemorrhage</li> <li>• Laser tx or IV agents</li> <li>• Cataract</li> </ul> | <ul style="list-style-type: none"> <li>• PDR</li> <li>• Recurrent VH</li> <li>• Laser tx or IV agents</li> <li>• Cataract surgery</li> </ul> | <ul style="list-style-type: none"> <li>• PDR</li> <li>• Laser tx or IV agents</li> <li>• Cataract surgery</li> <li>• Glaucoma</li> </ul> | <ul style="list-style-type: none"> <li>• No known diabetic retinopathy</li> </ul> |
| Diabetes duration (years)                  | 13.5  | 13.2   | 20.5  | 20.5   | 43.3   | 25.2  |
| HbA <sub>1c</sub> at randomisation (%)     | 8.8   | 8.7  | 8.9   | 8.9  | 7.5  | 9.7   |
| $\Delta$ HbA <sub>1c</sub> (%) at Week 16  | -2.3  | -1.0 at Week 8   | -2.7  | -1.6   | -0.5   | -0.5  |
| Insulin therapy prior to event (Y/N)       | Y   | Y  | Y   | Y  | Y  | Y   |
| Onset of event (day number)                | 15  | 60   | 121   | 304  | 323  | 239   |
| Latest vision available                    | Not blind 18 months after event   | Not blind 2 years after event  | Not blind 21 days after event   | Unavailable  | Unavailable Subject died   | Blind 16 days after event   |

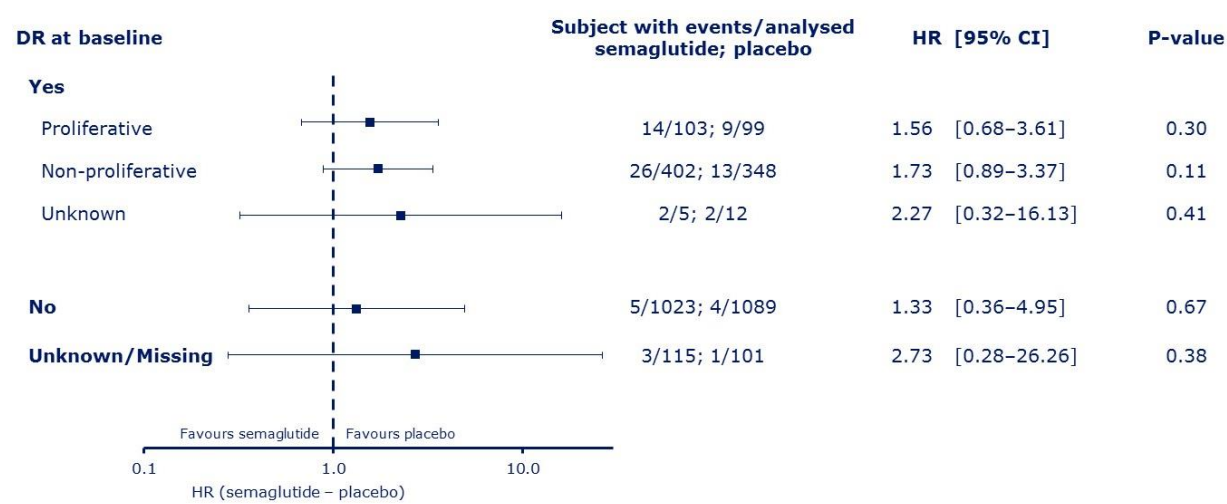
$\Delta$ HbA<sub>1c</sub>, change in HbA<sub>1c</sub>; IV, intravitreal; N, no; PDR, proliferative diabetic retinopathy; Pt patient; tx, treatment; VH, vitreous haemorrhage; Y, yes. Diabetes-related blindness is classified as onset of diabetes-related blindness, defined as Snellen visual acuity of 20/200 [6/60] or less, or a visual field of 20 degrees or less, in the better eye with the best correction possible.

**Table S6: Fundoscopy/fundus photograph results from SUSTAIN 6**

|   | <b>SUSTAIN 6</b>                |                             |
|---|---------------------------------|-----------------------------|
|   | Semaglutide<br>Left, %/Right, % | Placebo<br>Left, %/Right, % |
| <b>Baseline</b>   | 100/100                         | 100/100                     |
| Normal  | 50/50                           | 54/53                       |
| Abnormal, not clinically significant                    | 37/38                           | 36/36                       |
| Abnormal, clinically significant                        | 10/10                           | 9/10                        |
| Missing   | 2/2                             | 1/1                         |
| <b>Normal at baseline</b>                               | 100/100                         | 100/100                     |
| Normal at EOT   | 75/75                           | 72/72                       |
| Abnormal, not clinically significant at EOT             | 11/11                           | 13/13                       |
| Abnormal, clinically significant at EOT                 | 2/2                             | 2/3                         |
| Missing   | 12/12                           | 12/13                       |
| <b>Abnormal, not clinically significant at baseline</b> | 100/100                         | 100/100                     |
| Normal at EOT   | 15/16                           | 18/18                       |
| Abnormal, not clinically significant at EOT             | 70/70                           | 68/68                       |
| Abnormal, clinically significant at EOT                 | 3/2                             | 2/3                         |
| Missing   | 12/12                           | 12/11                       |
| <b>Abnormal, clinically significant at baseline</b>     | 100/100                         | 100/100                     |
| Normal at EOT   | 13/13                           | 20/22                       |
| Abnormal, not clinically significant at EOT             | 42/40                           | 28/26                       |
| Abnormal, clinically significant at EOT                 | 32/33                           | 41/39                       |
| Missing   | 13/14                           | 12/13                       |

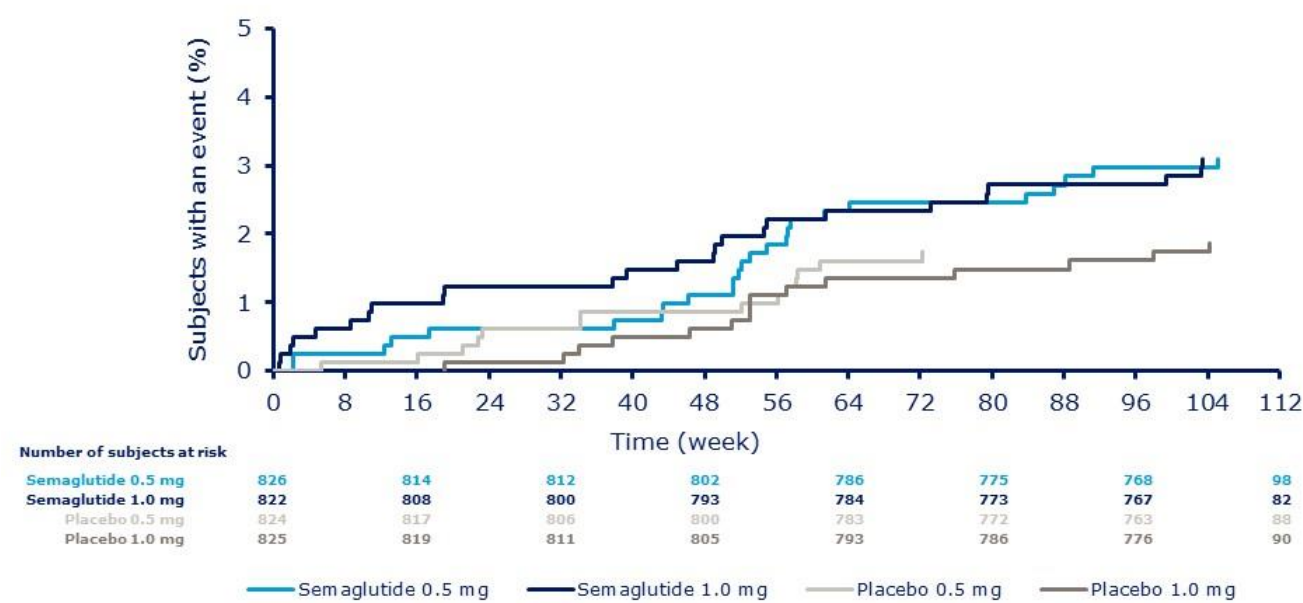
EAC, (external) event adjudication committee.

**Figure S1: Time to first EAC-confirmed diabetic retinopathy complication in SUSTAIN 6 by baseline diabetic retinopathy type**



CI, confidence interval; DR, diabetic retinopathy; HR, hazard ratio. Estimates are from an unstratified Cox proportional hazards model with the interaction between treatment (semaglutide, placebo) and baseline DR type (5 levels) as fixed factor.

**Figure S2: Kaplan–Meier plot showing time to first EAC-confirmed diabetic retinopathy complication in SUSTAIN 6 for individual dose arms**



EAC, (external) event adjudication committee; EOT, end of treatment.

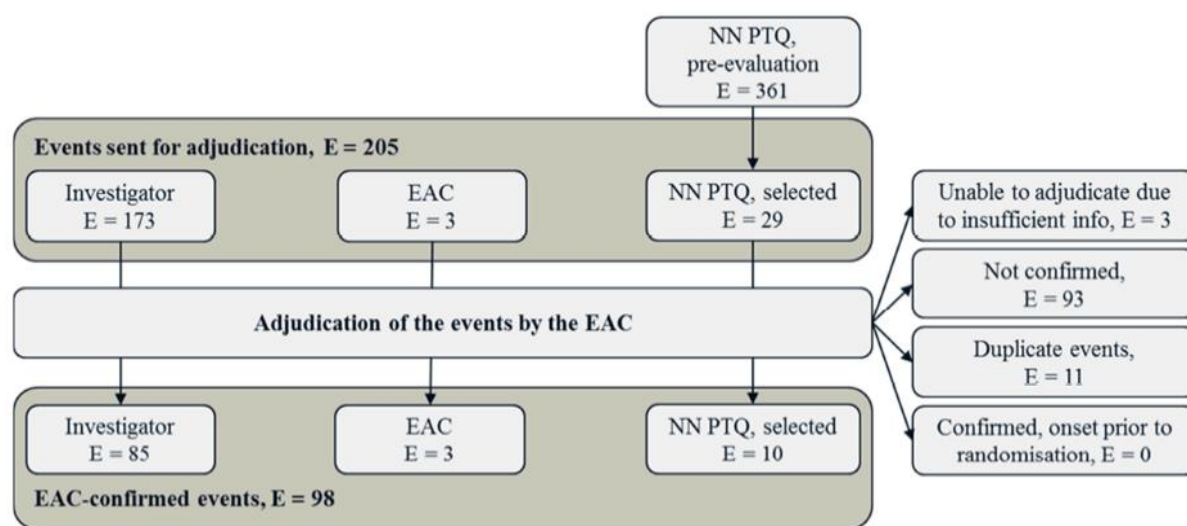


## Supporting Information, File S1

For the adjudication of DR complications, the investigator was required to provide the following source documents to the EAC: detailed event electronic case report form (eCRF); admission history and physical examination, detailed ophthalmology consultation notes (including the times of symptom onset and resolution and physical examination findings); imaging study reports (e.g. fundus photograph, optical coherence tomography, retinal digital images, ultrasound images or fluorescein angiograms); surgical reports; and discharge summaries (if applicable). Adjudicators could also identify additional events while reviewing source documents.

The majority of events were captured via investigator reporting. The sponsor (Novo Nordisk A/S) conducted additional searches of the trial database to identify any potentially missed events.

A total of 98 events of DR complications were confirmed by the EAC. A summary of the how the events were identified is included below:



EAC, (external) event adjudication committee; NN, Novo Nordisk; PTQ, preferred term query.

## Supporting Information, File S2

*Post hoc* subgroup analyses, conducted for time to first EAC-confirmed DR complication using an unstratified Cox proportional hazards model, with an interaction between treatment (semaglutide, placebo) and subgroup variable as fixed factor, considered the following variables: gender, baseline age, duration of diabetes, HbA<sub>1c</sub> level and baseline insulin, diabetic retinopathy status (Yes, No, Unknown/missing), diabetic retinopathy type (Yes – proliferative, Yes – non-proliferative, Yes – unknown type, No, Unknown/missing), fundoscopy, macular oedema and hypertension, and geographic area.

A similar *post hoc* mediation analysis to that with change in HbA<sub>1c</sub> (percentage-points) at Week 16 was conducted with change in systolic blood pressure (SBP) (mmHg) at Week 16 as the covariate. Confounding baseline variables were: SBP, DR, and duration of diabetes. Additional time points were also investigated.

For the unstratified Cox proportional hazards model exploring the impact of insulin use on the risk of DRC, insulin use was defined on a subject level as a binary time-dependent variable (Yes/No): at baseline, if the subject was randomised on insulin, 'Yes', if not, 'No'. For 'No' patients at baseline, this variable was changed to 'Yes' at the time of initiation of insulin during trial if this occurred prior to a DRC event or censoring. Two HRs were estimated from this model: one for the effect of semaglutide in patients with insulin use; one for the effect of semaglutide in those with no insulin use during the trial. This analysis was done for the entire population and by baseline retinopathy (Yes, No, Unknown/missing).

A *post hoc* mediation analysis was conducted using an unstratified Cox proportional hazards model which, in addition to treatment (semaglutide, placebo) as a fixed factor, included average SBP as a time-varying covariate as well as the confounding variables: 'SBP at baseline', 'DR at baseline' (Yes/No/Unknown) and 'baseline duration of diabetes'.

Missing values of SBP were imputed as predicted values from a mixed model for repeated measurements. The average SBP was calculated at each scheduled assessment time as an updated, time-weighted mean.

### Supporting Information, File S3

The HR for the expanded mediation analysis assessing the effect of change in HbA<sub>1c</sub> at Week 16 with other baseline variables, including hypertension as measured by baseline SBP, triglycerides, LDL-cholesterol and insulin use (Yes/No), was comparable to the HR for change in HbA<sub>1c</sub> at Week 16 (HR, 1.29 [p=0.37] versus HR, 1.22 [p=0.48]) and therefore provided no additional explanation for the excess risk in DRC observed with semaglutide versus placebo; there was no imbalance in the added variables at baseline.

In SUSTAIN 6, there was a small difference in SBP at baseline between those with and without DRC (139.5 [23.30] and 135.5 [16.96] mmHg, respectively). At Week 104, there was no significant difference in mean SBP with semaglutide 0.5 mg versus placebo 0.5 mg (p=0.10). SBP was significantly lower at Week 104 with semaglutide 1.0 mg versus placebo 1.0 mg (p<0.001).

A *post hoc* mediation analysis, controlling for SBP reduction at Week 16, indicated that the reduction in SBP did not further explain the treatment effect of semaglutide versus placebo, as the HR remained similar to the HR for the DRC endpoint (HR, 1.66 [p=0.03] versus 1.76 [p=0.02], respectively).

Furthermore, a *post hoc* mediation analysis, assessing the effect of average SBP as a time-varying covariate on time to first DRC, indicated no mediation of the treatment effect by greater SBP load over time with semaglutide versus placebo, as the HR was 1.66 [p=0.03], similar to that for the DRC endpoint (HR, 1.76 [p=0.02]).